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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0107-78

First Inventor or Application Identifier Masayuki AZUMA et al.

Title: ALIGNMENT METHOD AND APPARATUS

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [17]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [7]
4. ☒ Oath or Declaration Total Pages [2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS
(IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*A new statement is required to be entitled to pay small entity fees,
except where one has been filed in a prior application and is being
relied upon.

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name:

Firm: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.

Address: 8180 Greensboro Drive, Suite 800

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Name (Print/Type) David S. Safran

Registration No. (Attorney/Agent) 27,997

Signature

Date: November 25, 1998

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

1503 U.S. PTO

09/199198

11/25/98

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Complete If Known

Application Number	New Application
Filing Date	November 25, 1998
First Named Inventor	Masayuki AZUMA et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	0107-78

TOTAL AMOUNT OF PAYMENT (\$ 800.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account No. 19-2380
Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance
2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
101 760 201 380		Utility filing fee	<input checked="" type="checkbox"/>
106 310 206 155		Design filing fee	<input type="checkbox"/>
107 480 207 240		Plant filing fee	<input type="checkbox"/>
108 760 208 380		Reissue filing fee	<input type="checkbox"/>
114 150 214 75		Provisional filing fee	<input type="checkbox"/>
SUBTOTAL (1)		\$760.00	<input checked="" type="checkbox"/>

2. EXTRA CLAIM FEES

Total Claims	7	- 20** =	0	X	18.00	=	0
Independent Claims	3	- 3** =	0	X	78.00	=	0
Multiple Dependent Claims			0			=	0

**or number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	
103 18 203 9		Claims in excess of 20
102 78 202 39		Independent claims in excess of 3
104 260 204 130		Multiple dependent claim
109 78 209 39		**Reissue independent claims over original patent
110 18 210 9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

☐

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105 130		205 65		Surcharge-late filing fee or oath	
127 50		227 25		Surcharge-late provisional filing fee or cover sheet	
139 130		139 130		Non-English specification	
147 2,520		147 2,520		For filing a request for reexamination	
112 920*		112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		113 1,840*		Requesting publication of SIR after Examiner action	
115 110		215 55		Ext for reply within first month	
116 380		216 190		Ext for reply within second mth	
117 870		217 435		Ext for reply within third mth	
118 1,360		218 680		Ext for reply within fourth mth	
128 1,850		228 925		Ext for reply within fifth month	
119 300		219 150		Notice of Appeal	
120 300		220 150		Filing brief in support of appeal	
121 260		221 130		Request for Oral Hearing	
138 1,510		138 1,510		Petition to institute public use proceeding	
140 110		240 55		Petition to revive-unavoidable	
141 1,210		241 605		Petition to revive-unintentional	
142 1,210		242 605		Utility issue fee (or reissue)	
143 430		243 215		Design issue fee	
144 580		244 290		Plant issue fee	
122 130		122 130		Petitions to the Commissioner	
123 50		123 50		Petitions related to provisional applications	
126 240		126 240		Submission of IDS	
581 40		581 40		Recording each patent assignment per property (times number of properties)	
146 760		246 380		Filing a submission after final rejection (37 CFR 1.129(a))	40.00
149 760		249 380		For each additional invention to be examined (37 CFR 1.129(b))	
				Other	
				Other	
SUBTOTAL (3)				\$40.00	

SUBMITTED BY

Typed or Printed Name David S. Safran

Signature



Date 11/25/98

Complete (if applicable)

Reg. Number 27,997

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.